

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt.

Applicant: SAWADA et al.  
Serial No.: 09/749306  
Filed: 27 December 2000

Examiner: unknown  
Group Art Unit: 2652  
Docket: 8279.297US01

RECEIVED

AUG 13 2001

Technology Center 2600

Title: DISK CARTRIDGE

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Office of Initial Patent Examination's Customer Service Center, Commissioner for Patents, Washington, D.C. 20231, on 30 May 2001.

By:

*Heidi Hanson*  
Heidi Hanson

Office of Initial Patent Examination's Customer Service Center  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Other: Request to Correct Filing Receipt, Copy of Updated Filing Receipt with corrections noted in red ink, Copy of Serial Number Postcard, Copy of Express Mail Receipt, Copy of Original Filing Receipt mailed 7 February 2001
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By:

*Curtis B. Hamre*  
Name: Curtis B. Hamre  
Reg. No.: 29,165  
CBH:hjh





S/N 09/749306

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	SAWADA et al.	Examiner:	unknown
Serial No.:	09/749306	Group Art Unit:	2652
Filed:	27 December 2000	Docket No.:	8279.297US01
Title:	DISK CARTRIDGE		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Office of Initial Patent Examination's Customer Service Center, Commissioner for Patents, Washington, D.C. 20231, on 30 May 2001.

By: Heidi Hanson  
Name: Heidi Hanson

**REQUEST FOR CORRECTED FILING RECEIPT**

RECEIVED

AUG 13 2001

Technology Center 2600

Office of Initial Patent Examination's Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed is a photocopy of the filing receipt from the United States Patent and Trademark Office in the above-identified application showing requested corrections.

The FILING DATE is incorrect.

Please replace "03/02/2001" with --12/27/2000--.

Correction of the records of the United States Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

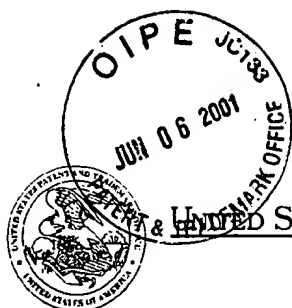
Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: 30 May 2001

By Curtis B. Hamre  
Curtis B. Hamre  
Reg. No. 29,165

CBH:hjh



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/749,306	03/02/2001	2652	970	8279.297US01	11	10	2

--12/27/2000--

23552  
MERCHANT & GOULD  
P O BOX 2903  
MINNEAPOLIS, MN 55402-0903

CBH

CONFIRMATION NO. 5426

## UPDATED FILING RECEIPT



\*OC000000005932054\*

Date Mailed: 04/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Tsutomu Sawada, Kyoto-shi, JAPAN;  
Hiroshi Kishida, Kyoto-shi, JAPAN;

RECEIVED

AUG 13 2001

Technology Center 2600

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 370569/1999 12/27/1999  
JAPAN 2000-390465 12/22/2000

If Required, Foreign Filing License Granted 04/03/2001

Projected Publication Date: 07/12/2001

Non-Publication Request: No

Early Publication Request: No

## Title

Disk cartridge

## Preliminary Class

15

**Date:** 04/03/2001

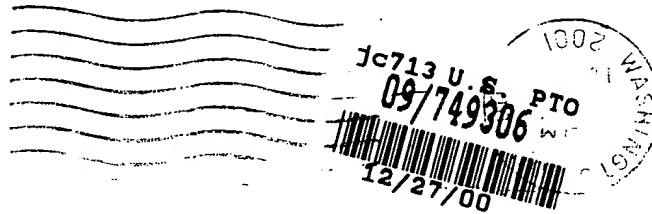


Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:  
Applicant: SAWADA et al.  
For: DISK CARTRIDGE  
Docket No.: 8279.297US01

Express Mail No.: EL649977760US  
Date of Deposit: December 27, 2000

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ Utility Patent Application: Spec. 13 pgs; 9 claims; Abstract 1 pgs.:
- ☒ 11 sheets of formal drawings
- ☒ An unsigned Combined Declaration and Power of Attorney
- ☒ Other: Communication Regarding Japanese Language Specification; Communication Regarding Priority Claim
- ☒ Return postcard
- ☒ **PAYMENT OF THE FILING FEE IS BEING DEFERRED.**

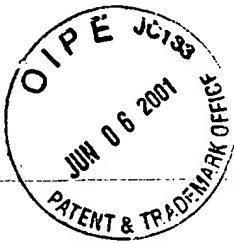
Patent



RECEIVED  
AUG 13 2001  
Technology Center 2600

CBH/kas

15.36 1824

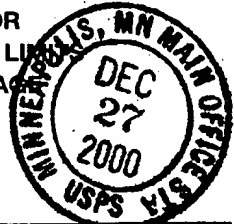


# POST OFFICE TO ADDRESSEE



EL649977760US

SEE REVERSE SIDE FOR  
SERVICE GUARANTEE AND LIMITS  
ON INSURANCE COVERAGE



Customer Copy  
Label 11-F July 1997

ORIGIN (POSTAL USE ONLY)		
PO ZIP Code 55401	Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date In 12 27 00 Mo. Day Year	<input checked="" type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$ 11.75
Time In <input type="checkbox"/> AM 8 <input checked="" type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight lbs. 7 ozs.	Int'l Alpha Country Code	COD Fee Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials DM	Total Postage & Fees \$ 11.75

CUSTOMER USE ONLY	
METHOD OF PAYMENT: Express Mail Corporate Acct. No. X551962	<input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.
Federal Agency Acct. No. or Postal Service Acct. No.	NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday

FROM: (PLEASE PRINT) PHONE (612) 332-5300 MERCHANT & GOULD 80 S 8TH ST STE 3200 MINNEAPOLIS MN 55402-2215	TO: (PLEASE PRINT) PHONE ( ) BOX PATENTS APPLICATION ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON DC 20231-0001
---	---

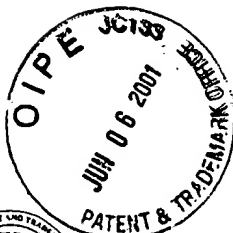
PRESS HARD. You are making 3 copies. FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.gov

RECEIVED

AUG 13 2001

Technology Center 2600

K



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/749,306	12/27/2000		0.00	8279.297US01	11	1	1

CBH

CONFIRMATION NO. 5426

## FILING RECEIPT



\*OC000000005743821\*

Curtis B. Hamre  
MERCHANT & GOULD P.C.  
P.O. Box 2903  
MINNEAPOLIS, MN 55402-0903

Date Mailed: 02/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

RECEIVED

AUG 13 2001

Technology Center 2600

## Applicant(s)

Tsutomu Sawada, Kyoto-shi, JAPAN;  
Hiroshi Kishida, Kyoto-shi, JAPAN;

## Continuing Data as Claimed by Applicant

## Foreign Applications

JAPAN 370569/1999 12/27/1999  
JAPAN 2000-390465 12/22/2000

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Disk cartridge

## Preliminary Class

Data entry by : MOHAMED RIZHA

**Team : OIPE**

**Date:** 02/07/2001

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52

RECEIVED

AUG 13 2001

Technology Center 2600



#7  
BA  
8/14/01

## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

Bib Data Sheet

CONFIRMATION NO. 5426

<b>SERIAL NUMBER</b> 09/749,306	<b>FILING DATE</b> 12/27/2000 <b>RULE</b>	<b>CLASS</b> XXX	<b>GROUP ART UNIT</b> 2652	<b>ATTORNEY DOCKET NO.</b> 8279.297US01	
<b>APPLICANTS</b> Tsutomu Sawada, Kyoto-shi, JAPAN; Hiroshi Kishida, Kyoto-shi, JAPAN;  ** CONTINUING DATA *****  ** FOREIGN APPLICATIONS ***** JAPAN 370569/1999 12/27/1999 JAPAN 2000-390465 12/22/2000  IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 04/03/2001					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged		STATE OR COUNTRY JAPAN	SHEETS DRAWING 11	TOTAL CLAIMS 10	INDEPENDENT CLAIMS 2
<b>ADDRESS</b> 23552					
<b>TITLE</b> Disk cartridge					
<b>FILING FEE RECEIVED</b> 970	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		